

On or about June 20, 2008, plaintiff submitted a Statement of Claim to the Financial Industry Regulatory Authority ("FINRA") to initiate the arbitration process. FINRA served the Statement of Claim on the defendants. Under FINRA's Code of Arbitration Procedure, defendants have 45 days in which to submit answers in response to plaintiff's Statement of Claim. Defendants' answers are due on or about September 2, 2008. The arbitration panel and the schedule for the arbitration will be determined after defendants have filed Answers.

DATED: August 11, 2008

/s/

CHRISTOPHER C. COOKE
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Attorneys for Plaintiff
VIOLETTA ETTARE

DATED: August ___, 2008

GILBERT R. SEROTA
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Attorneys for Defendants
JOSEPH E. BARATTA and TBIG
FINANCIAL SERVICES, INC.

DATED: August ___, 2008

JUDY LOUIE
WACHOVIA SECURITIES LLC
Attorneys for Defendants
WACHOVIA SECURITIES, LLC and
MARK WIELAND


1 as to the status of the parties' arbitration.

2 On or about June 20, 2008, plaintiff submitted a Statement of
3 Claim to the Financial Industry Regulatory Authority ("FINRA") to
4 initiate the arbitration process. FINRA served the Statement of
5 Claim on the defendants. Under FINRA's Code of Arbitration
6 Procedure, defendants have 45 days in which to submit answers in
7 response to plaintiff's Statement of Claim. Defendants' answers are
8 due on or about September 2, 2008. The arbitration panel and the
9 schedule for the arbitration will be determined after defendants
10 have filed Answers.

11
12 DATED: August __, 2008

CHRISTOPHER C. COOKE
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VIOLETTA ETTARE

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15
16 DATED: August 7th, 2008


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17
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19
20
21 DATED: August __, 2008

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 2 Financial Industry Regulatory Authority ("FINRA") to initiate the arbitration process.
 3 FINRA served the Statement of Claim on the defendants. Under FINRA's Code of
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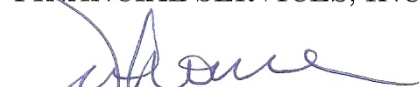
9 DATED: August __, 2008

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13 DATED: August __, 2008

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18 DATED: August 8, 2008


 JUDY LOUIE
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 MARK WIELAND